

1 REBECCA EISEN, SBN 096129  
PHILIP J. SMITH, SBN 232462  
2 MORGAN, LEWIS & BOCKIUS LLP  
One Market, Spear Street Tower  
3 San Francisco, California 94105-1126  
Tel: 415.442.1000  
4 Fax: 415.442.1001  
e-mail: reisen@morganlewis.com,  
5 philip.smith@morganlewis.com

6 JOHN S. BATTENFELD, SBN 119513  
MORGAN, LEWIS & BOCKIUS LLP  
7 300 South Grand Avenue, Suite 2200  
Los Angeles, California 90071-3132  
8 Tel: 213.612.2500  
Fax: 213.612.2501  
9 e-mail: jbattenfeld@morganlewis.com

10 Attorneys for Defendants  
SUNAMERICA SECURITIES, INC., and AIG  
11 FINANCIAL ADVISORS, INC.

12  
13 UNITED STATES DISTRICT COURT

14 NORTHERN DISTRICT OF CALIFORNIA

15 DEREK HAVEL, on behalf of himself and  
all others similarly situated, and on behalf  
16 of the General Public,

17 Plaintiff,

18 vs.

19 SUNAMERICA SECURITIES, INC., AIG  
FINANCIAL ADVISORS, INC., and  
20 DOES 1 TO 10, inclusive,

21 Defendants.

Case No. C 06-04543 PJH

**STIPULATION AND ~~[PROPOSED]~~  
ORDER CONTINUING DATE OF INITIAL  
CASE MANAGEMENT CONFERENCE**

Judge: Hon Phyllis J. Hamilton

22  
23 ///

24  
25 ///

26  
27 ///

28  
29 ///

STIPULATION

WHEREAS, the initial case management conference in this matter has been scheduled for October 26, 2006, pursuant to Judge Hamilton's July 26, 2006 Order Setting Initial Case Management Conference and ADR Deadlines;

WHEREAS, Civil Local Rule 16-10(a) requires lead trial counsel for each party to attend the initial case management conference;

WHEREAS, both Rebecca Eisen and John Battenfeld, lead trial counsel for Defendants SunAmerica Securities, Inc., and AIG Financial Advisors, Inc., and Eric Grover, lead trial counsel for Plaintiff Derek Havel, will be unable to attend the initial case management conference as scheduled;

WHEREAS, Local Rule 16-2 permits parties to seek relief from the Court's case management schedule by stipulating to change the date of any case management conference;

THEREFORE, Plaintiff Derek Havel and Defendants SunAmerica Securities, Inc., and AIG Financial Advisors, Inc., (collectively, the "Parties") through their counsel of record, hereby STIPULATE to reschedule the Initial Case Management Conference, currently set for October 26, 2006, to November 16, 2006;

THEREFORE, the Parties further STIPULATE that October 19, 2006 will be the last day to meet and confer (pursuant to Rule 26(f) and Judge Hamilton's Order Requiring Joint Case Management Conference and Setting Case Management Conference) and to file their ADR Certifications with either a Stipulation to ADR Process or Notice of Need for ADR Phone Conference;

///

///

1 THEREFORE, the Parties further STIPULATE that November 2, 2006 will be the last day  
2 to complete their initial disclosures or state objections in their Rule 26(f) Report, as well as the  
3 last day to file their Case Management Statement and Rule 26(f) Report.

4 IT IS SO STIPULATED.  
5

6  
7 Dated: October 10, 2006

KELLER GROVER LLP  
ERIC A. GROVER  
VALERIE L. SHARPE  
JADE BUTMAN  
ELIZABETH A. ACEVEDO

8  
9  
10 By EAGROVER

Eric A. Grover  
Attorneys for Plaintiff,  
DEREK HAVEL

11  
12  
13 Dated: October 7, 2006

MORGAN, LEWIS & BOCKIUS LLP  
REBECCA EISEN  
JOHN S. BATTENFELD  
PHILIP J. SMITH

14  
15 By Philip J. Smith

Philip J. Smith  
Attorneys for Defendants SUNAMERICA  
SECURITIES, INC., AND AIG FINANCIAL  
ADVISORS, INC.

16  
17  
18  
19 ORDER

20  
21 PURSUANT TO STIPULATION, IT IS SO ORDERED.

22 Dated: 10/18, 2006

23 By: 

Hon. Phyllis J. Hamilton  
U.S. District Court Judge